



OGS

Istituto Nazionale
di Oceanografia
e di Geofisica
Sperimentale

Open Access policy of OGS for scientific publications

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Open Access policy of OGS for scientific publications

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Deposit licence of the National Institute of Oceanography and Applied Geophysics

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Art. 1 - General Principles

The National Institute of Oceanography and Applied Geophysics - OGS, consistent with the institutional objectives in favour of developing culture and scientific and technical research, as well as protecting the freedom of research, sets the principles of Open Science at the centre of its action, identifying among its priorities the expansion of the community of users of its research products.

This document incorporates:

- Legislative Decree No. 218/2016 "Simplification of the activities of public research institutions under Article 13 of Law No. 124 of August 7, 2015" and subsequent amendments.
- The Recommendation of the European Commission of March 11, 2005, on the European Charter for Researchers and the Code of Conduct for the Recruitment of Researchers (2005/251/EC), with particular regard to the provisions relating to freedom of research, dissemination and exploitation of research, protection intellectual property.
- The European Commission Recommendation of April 25, 2018, on access to scientific information and its preservation (2018/790/EC).
- The Principles of Open Access are contained, first in the Budapest Declaration of 2002 and, later, in the 2003 Berlin Declaration concerning open access to scientific literature (Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities).
- Law No. 112 of October 7, 2013, "Conversion into law, with amendments, of Decree-Law No. 8 August 2013, No. 91, containing urgent provisions for the protection, enhancement and relaunch of cultural heritage and cultural activities and tourism."
- The Library Commission - Open Access Group of the CRUI (Conference of Deans of Italian Universities) guidelines regulate open access to scientific articles.
- The Declaration on Research Assessment (DORA) of San Francisco in 2012, which promotes the adoption of evaluation criteria leading to the improvement of the intrinsic quality of research and its economic and social impact.

Considering its research-related scientific output, OGS considers it necessary to analyse and regulate the management of scientific literature (repository and publication) separately from scientific data due to their respective peculiarities and specificities.

Art. 2 - Purposes

This policy aims to regulate the depositing and publication in the Institutional Archive of the OGS, of scientific publications according to the principles of open access, making as effective and broad as possible the access to contributions to the scientific literature produced by OGS staff, with the aim of contributing to:

- a) increasing the visibility of OGS scientific research;
- b) promoting and implementing the Principles of Open Access;
- c) fulfilling the requirements of national and EU legislation on Open Access.

Art. 3 - Definitions

For this Policy, the following definitions apply:

Publication/contribution: any text (possibly accompanied by images and/or scientific data related to the text) accepted or published in scientifically significant publishing journals that have been subject to review or evaluation by recognized experts in the field. Examples include: essays, articles in scientific journals, conference proceedings, monographs and book chapters, editorials, patents, doctoral dissertations, reports technical.

Open access: an accessible, free and freely available scientific publication that also authorizes printing, copying and reuse for teaching and research purposes, provided that the source is cited.

Author: the person/s who, by depositing a contribution in the OGS Institutional Archive, declare and warrant that they are the intellectual creator (or co-creator/s) of that contribution, the integrity and authenticity of the deposited version and that they are the owner (or co-owner/s) of the right to make available for the purposes set forth herein, to the extent permitted by current legislation and any contracts entered into with third parties. All persons, whether employees or not, who perform scientific and technology at OGS shall provide for the depositing of publications of which they are authors or co-authors.

Institutional Archive of Publications: the tool for documenting, validating, preserving, promoting and disseminating the scientific production of OGS members for future use, verification, evaluation and research activities.

Pre-print version: the digital version of the publication before it undergoes the process of peer-review or other scientific quality control mechanisms.

Post-print version: the final digital version of the publication, incorporating the results of the review process, which has been accepted for publication but has not yet been graphically processed by the publisher and does not graphically feature the logos or trademarks of the same publisher.

Editorial version: the digital version of the publication published and processed by the publisher, which graphically features the logos or trademarks of the same publisher.

Metadata: the set of structured information on a publication. It can be primary (descriptive and structural) and context-related information (e.g. administrative/management information related to departmental affiliation, possible funding body, etc.)

Embargo: the period during which open access to the publication is suspended even if already deposited in the Institutional Repository.

Green Road to open access: the self-archiving of the metadata of a scientific contribution accompanied by the entire text in the pre-print or post-print version.

Gold Road to open access: the open access publication of a scientific contribution.

Art. 4 - Depositing and publication in the Institutional Archive

The Institutional Archive of scientific publications is **OGS RESEARCH** published at research.inogs.it. The Archive is configured according to the OAI-PMH protocol (Open Archives Initiative Protocol for Metadata Harvesting). It therefore falls within the scope of applying the norms

on scientific research and evaluation of research, archival-administrative records, and the norms on the use of scientific and cultural heritage from an open access-oriented perspective. It is indexed by the main general and specialized search engines, ensuring maximum dissemination and visibility of deposited materials. It is interoperable with the MUR's databases and the European Commission's OpenAIRE open access repository and publication information infrastructure (www.openaire.eu).

OGS recommends depositing and ensuring open access availability of scientific publications by following the **green road** or, when possible, the **gold road**.

When the author finalizes a scientific publication, they are required to start depositing that publication at the same time as accepting it, subject to constraints arising from any funding.

In the case of publication in a journal or other publishing venue, the author is required to inquire what rights they have assigned to the publisher and the obligations arising from any funding before depositing the publication in the Institutional Repository.

On insertion, the author:

- a) declares that they have complied with the constraints signed in the agreement signed with the publisher and the lender if any;
- b) provides indications on the immediate accessibility or otherwise (e.g. embargo period) of the publication in its editorial version. If the editorial version cannot be made public, the author is required to deposit in the Institutional Repository an open access version (e.g. the pre-print or post-print version) and possibly deposit the restricted access editorial version;
- c) fully accepts the terms and conditions outlined in the OGS RESEARCH Deposit Licence (Attachment 1).

Art. 5 - Monitoring and support committee

The Monitoring Commission is appointed by the Act of the President. The commission provides internal support and periodically verifies and monitors the correctness of filing procedures.

Art. 6 - Final Provisions

For matters not expressly provided for, please refer to current relevant regulations and subsequent Commission regulations (Art. 5).

Deposit licence of the National Institute of Oceanography and Applied Geophysics

Art. 1 - Generalities and aims

In order to implement the OGS Policy on Open Access to the contributions of scientific research, OGS employees and staff undertake to implement open access through the Green or Gold road, providing in both cases for the deposit of scientific publications in the OGS RESEARCH Institutional Repository.

The deposit in the Institutional Repository guarantees the long-term preservation of OGS's scientific production, allowing its valorisation, consultation and mapping also for the purposes of possible internal and external evaluation exercises at OGS as well as potential compliance with research funding bodies.

This license acknowledges and integrates the OGS Policy on Open Access to scientific publications and regulates the deposit and the guarantees related to the deposited product.

Art. 2 – Deposit

The deposit of the scientific publication in the OGS Open Access Institutional Repository is made by the Author, who will deposit at least one of the following types of files, as defined in the OGS Policy on Open Access to Scientific Publications:

- a) pre-print version
- b) post-print version
- c) editorial version

The Author also undertakes to simultaneously deposit the descriptive metadata of the scientific publication.

Art. 3 – Guarantees

The Author declares the originality of the products that they are going to deposit, relieving OGS from any liability for claims that, in Italy or abroad, third parties may justifiably raise for violation of copyright law and/or other laws that prevent or limit the possibility of making the scientific publication public and available.

The Author guarantees the authorship of the publication, that they have the right to reproduce and disseminate it through the Institutional Archive and that they have fulfilled any obligations to third parties. In the absence of a licence or a text of the Author's rights, the provisions of the Copyright Act shall apply.

The Author declares that the publication is deposited in the best possible version, in full text, possibly accompanied by the summary and abstract and all the bibliographical data necessary for its identification, cataloguing and contextualisation, including - if attributed - the unique identification codes of the contribution in the published version or of the collective publication in which it is included (e.g., DOI of the publication, ISSN of the journal, ISBN of the book).

If the publication has been commissioned, sponsored or financed, the Author declares and warrants that they have fulfilled all the obligations required by the contract or related agreements, indicating in the metadata the references to the project/financing/sponsor.

For publications authored in collaboration with others, the Author, by accepting the agreement, gives evidence that they have given notice to the other co-authors of the open access availability in OGS RESEARCH for the purposes envisaged by the OGS Policy, and declares that they are not aware of any reason why the consent to deposit cannot be granted, with the same guarantees and prerogatives (so-called rights of use) indicated in these conditions. If this is not the case, the consent of the co-authors, to whom notice has been given, shall be deemed assumed until proven otherwise. If one of the co-authors communicates that they have not given their consent and formulates a specific motivated request, OGS, after assessing the request, reserves the right to proceed to remove the scientific publication or to prevent its accessibility, in accordance with Art. 5 below. The Author may provide proof of the consent of the other co-authors, if already acquired.

Art. 4 - Granting of rights to use the scientific publication (so-called *licence*)

Subject to the terms and conditions contained in this Agreement, in compliance with the European Commission Recommendation 2018/790/EU on access to and preservation of scientific information, the Author grants OGS a worldwide, free, non-exclusive, non-transferable, revocable license to use the scientific publication for non-commercial purposes, with a duration equal to that of the copyright applicable to the scientific publication, which authorises OGS to exercise only and exclusively the rights over the publication listed below:

(a) to archive, store and communicate to the public, via the Internet, with open, free of charge and free access, the bibliographic data and the publication itself, within the limits allowed by law and by contract, and in compliance with additional licenses granted by the Author (such as Creative Commons licenses);

b) provide for the legal deposit as required by the relevant legislation in force;

c) adapting and transforming the publication into formats other than those with which it was originally produced, in order to guarantee its preservation and accessibility over time, unless special restrictions are declared by the Author;

d) include the publication's bibliographic information in dedicated international web portals, so that the publication's citation remains visible at all times.

Art. 5 – Reservations

In the event that truthful information has not been provided in accordance with Art. 3, or if infringements of the Author's rights are ascertained, OGS reserves the right to take any initiative or action it deems appropriate.

OGS also reserves the right to remove the scientific publication or to prevent access to it if it detects content that could expose OGS and/or its staff to the risk of complaints or legal action, or for technical-administrative reasons, without prejudice to the sole responsibility of the Author for the content of the publication.